

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Lane et al.

Title:

SERVICE CASE

Appl. No.:

10/804,627

Filing

03/19/2004

Date:

Examiner:

To be determined

Art Unit:

3744

# CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. EV 459165853 US August 2, 2004 (Express Mail Label Number) (Date of Deposit) Deborah A. Kocorowski (Printed Name)

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

### RELEVANCE OF EACH DOCUMENT

The relevance of the foreign-language documents is described in the present specification. An English translation of the foreign-language documents is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609).

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date

AUGUST 2, 2004

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form Appendix TO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

AUG 0 2 2004

Date Submitted: August 2, 2004

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	10/804,627			
Filing Date	03/19/2004			
First Named Inventor	Mark Lane			
Group Art Unit	3744			
Examiner Name	To be determined			
Attorney Docket Number	060507-1084			

				U.S. PATENT DOCUMENTS	3		
Examiner	Cite	U.S. Patent Document		Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Initials* No.1		Number	Kind Code <sup>2</sup> (if known)	Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	A1	6,755,042	B2	Chuang et al.	06/29/2004		
	A2	6,745,588		Kahler	06/08/2004		
	A3	6,722,149	,	Saroka et al.	04/20/2004		
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	A5	6,698,234	B2	Gopalnarayanan et al.	03/02/2004		
	A6	6,684,650		Weyna et al.	02/03/2004		
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	A8	6,155,075		Hanson et al.	12/05/2000		
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	A10	5,887,440		Dube, Serge	03/30/1999		
	A11	5,727,393		Mahmoudzadeh	03/17/1998		
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-	A13	5,649,432		Cavalea, III	07/22/1997		
	A14	5,598,886		Craido-Mellado	02/04/1997		
	A15	5,351,498		Takahashi et al.	10/04/1994	•	
	A16	5,335,508		Tippmann	08/09/1994	·	
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	A18	2,607,204		Kleist, H. W.	08/19/1952		
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	A22	2004/0088069	A1	Singh	05/06/2004		
	A23	2004/0069002		Chuang et al.	04/15/2004		
	A24	2004/0067290	A1	Swofford et al.	04/08/2004		
	A25	2001/0027663	A1	Zeigler et al.	10/11/2001		
	A26						

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	( )Hica Number	ent d Code <sup>5</sup> known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	A27	EP 1 139 041	A3	Tamaoki, Yuichi et al.	09/11/2002	(Abstract)		
	A28	EP 1 134 514	-	Ives, Richard-Charles	09/19/2001	(Abstract)		
	A29	EP 0 602 911	B1	McCloskey et al.	05/27/1998			
	A30	EP 0 675 331		Ozu, Masao et al.	10/04/1995	(Abstract)	<u></u>	
	A31							

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Complete if Known		
	INFORMATI	ON DISCLO	SURE	Application Number	10/804,627	
STATEMENT BY APPLICANT				Filing Date	03/19/2004	
				First Named Inventor	Mark Lane	
Date Submitted: August 2, 2004  (use as many sheets as necessary)				Group Art Unit	3744	
				Examiner Name	To be determined	
Sheet	2	of	2	Attorney Docket Number	060507-1084	

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(publisher, city and/or country where published.		T <sup>6</sup>				
	A32	U.S. Patent Application No. 10/222,767 titled "Refrigeration System" filed on 08/17/2002 (77 pages).					
	A33	U.S. Patent Application No. 10/223,759 titled "Service Case" filed on 08/19/2002 (19 pages).					
·····	A34	U.S. Provisional Patent Application No. 60/351,265 titled "Refrigeration System" filed on 01/23/2002 (28 pages).					
	A35	U.S. Provisional Patent Application No. 60/314,196 titled "Service Case" filed on 08/22/2001 (7 pages).					
	A36	SNOPAN® STEEMPAN® COLPLATE® and other units for efficient, flexible and reliable food display, serving & storage, Tranter, Inc., having a date indication of "09/94", 4 pages (best available copy).					
	A37						

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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